

NOTICE OF DISCIPLINARY TRIBUNAL HEARINGS

In accordance with the Rules of the New Zealand Institute of Chartered Accountants and the New Zealand Institute of Chartered Accountants Act, the Disciplinary Tribunal of the New Zealand Institute of Chartered Accountants will be hearing charges against two members and one former member on **10-12 December 2019**.

10 December 2019

9.30am One member is charged with misconduct in a professional capacity, conduct unbecoming an accountant, negligence or incompetence in a professional capacity and breaching NZICA's Rules and/or Code of Ethics. The charges arose from a complaint relating to the Member's conduct while in public practice which resulted in conflicts of interest and breaches of the NZICA Code of Ethics' principles of objectivity, professional competence and due care, and integrity.

12 December 2019

9.00am One former member is charged with having been convicted of an offence punishable by imprisonment or a fine. The charges arose following the former member's conviction in the District Court of two representative charges pursuant to s 240(1) of the Crimes Act 1961 of obtaining \$645,000.00 by deception between 1 June 2011 and 30 September 2013.

11.00am One member is charged with misconduct in a professional capacity, conduct unbecoming an accountant and breaching NZICA's Rules and/or Code of Ethics. The charges arose from a complaint by a trust relating to allegations that the Member had misappropriated trust funds.

The hearings will be held at the offices of Chartered Accountants Australia and New Zealand, Level 7, Chartered Accountants House, 50 Customhouse Quay, Wellington.

The hearings will be held in public and open to the news media unless the Disciplinary Tribunal orders otherwise.

The New Zealand Institute of Chartered Accountants regulates the conduct of members of Chartered Accountants Australia and New Zealand that reside in New Zealand in accordance with the New Zealand Institute of Chartered Accountants Act 1996 and other legislation regulating the profession of accountancy in New Zealand.

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Disciplinary Tribunal decisions can be viewed at
<http://www.charteredaccountantsanz.com/disciplinary-decisions>

