

## **NOTICE OF DISCIPLINARY TRIBUNAL HEARING**

In accordance with the Rules of the New Zealand Institute of Chartered Accountants (“NZICA”) and the New Zealand Institute of Chartered Accountants Act 1996, the Disciplinary Tribunal of NZICA will be hearing charges against a member commencing at **10.00am on 16 May 2024**.

The Member is charged with conduct unbecoming an accountant, negligence or incompetence in a professional capacity, and breaching NZICA’s Rules and/or Code of Ethics. The charges arose following a practice review undertaken by NZICA in respect of statutory assurance engagements performed by the Member. It is alleged the Member issued an audit report without having completed any substantive audit work, provided audit workpapers for review which were modified and/or created after they were notified of their selection for practice review, and failed to ensure their audit work was performed in accordance with the relevant technical and professional standards.

The hearing will be held at the offices of Chartered Accountants Australia and New Zealand, Level 5, 8 Tangihua Street, Auckland.

The hearing will be held in public and open to the news media unless the Disciplinary Tribunal orders otherwise.

The New Zealand Institute of Chartered Accountants regulates the conduct of members of Chartered Accountants Australia and New Zealand that reside in New Zealand in accordance with the New Zealand Institute of Chartered Accountants Act 1996 and other legislation regulating the profession of accountancy in New Zealand.

Janene Hick  
**Disciplinary Tribunal Secretariat**

**Telephone:** +64 9 9175 920 or +64 27 274 5157  
**Email:** [janene.hick.nzica@charteredaccountantsanz.com](mailto:janene.hick.nzica@charteredaccountantsanz.com)

Disciplinary Tribunal decisions can be viewed at  
<http://www.charteredaccountantsanz.com/disciplinary-decisions>

